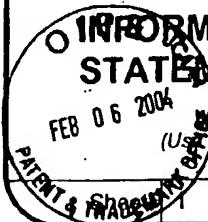


Substitute for form 1449A/PTO

Application Number	10/038,066
Filing Date	January 3, 2002
First Named Inventor	Chen, et al.
Group Art Unit	1742
Examiner Name	Harry D. Wilkins, III
Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	February _____, 2004



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of 2

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
HW	A1	US-6,579,153	06/17/2003	Uchikura, et al.	
HW	A2	US-6,555,158	04/29/2003	Yoshio, et al.	
HW	A3	US-6,355,153	03/12/2002	Uzoh, et al.	
HW	A4	US-6,416,685	07/09/2002	Zhang, et al.	
HW	A5	US-6,355,075	03/12/2002	Ina, et al.	
HW	A6	US-6,354,916	03/12/2002	Uzoh, et al.	
HW	A7	US-6,258,721	07/10/2001	Li, et al.	
HW	A8	US-6,217,416	04/17/2001	Kaufman, et al.	
HW	A9	US-6,206,756	03/27/2001	Chopra, et al.	
HW	A10	US-6,143,656	11/07/2000	Yang, et al.	
HW	A11	US-6,126,853	11/07/2000 10/03/2000	Yang, et al. Kaufman, et al	
HW	A12	US-6,117,775	10/03/2000 03/12/2000	Kaufman, et al. Kondo, et al	
HW	A13	US-6,106,728	09/12/2000 08/22/2000	Kondo, et al. Tida, et al	
HW	A14	US-6,096,652	08/01/2000	Watts, et al.	
HW	A15	US-5,954,997	09/21/1999	Kaufman, et al.	
HW	A16	US-5,002,645	03/26/1991	Eastland, Jr., et al.	
HW	A17	US-4,666,683	05/19/1987	Brown, et al.	
HW	A18	US-3,239,441	03/08/1966	Marosi, S.L.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Number-Kind Code <sup>2</sup> (if known)				
HW	B1	EP 1 170 761	03/17/2000	Europe		
HW	B2	EP-0 527 537	02/17/1993	Europe		
HW	B3	WO 02-075804	09/26/2002	WIPO		
HW	B4	WO 03/060962 A2	07/24/2003	WIPO		
	B5					

Examiner

Date Considered

4/26/102004

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AMERICAN	2	of	2	Submission Date	February _____, 2004
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
HW	A1	US-2003/0113996	06/19/2003	Nogami, et al.	
HW	A2	US-2003/0114004	06/19/2003	Sato, et al.	
HW	A3	US-2003/0104762	06/05/2003	Sato, et al.	
HW	A4	US-2002/0160698	10/31/2002	Sato, et al.	
HW	A5	US-2002/0074230	06/20/2002	Basol	
HW	A6	US-2002/0072309	06/13/2002	Sato, et al.	
HW	A7	US-2002/0070126	06/13/2002	Sato, et al.	
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			
	A13	US-			
	A14	US-			
	A15	US-			
	A16	US-			
	A17	US-			
	A18	US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Number-Kind Code <sup>2</sup> (if known)				
	B1					
	B2					
	B3					
	B4					
	B5					

Examiner

Date Considered

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**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1

of 3

Application Number

10/038,066

Filing Date

January 3, 2002

First Named Inventor

Chen, et al.

Group Art Unit

1742

Examiner Name

Harry D. Wilkins, III

Attorney Docket Number

AMAT/5699/CMP/CMP/RKK

Submission Date

03/10, 2004

**U.S. PATENT DOCUMENTS**

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		Number-Kind Code <sup>2</sup> (if known)			
HW	A1	US-6,693,036 B1	02/17/2004	Negami, et al.	
HW	A2	US-6,679,929 B2	01/20/2004	Asano, et al.	
HW	A3	US-6,679,928 B2	01/20/2004	Costas, et al.	
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HW	A5	US-6,620,215 B2	09/16/2003	Li, et al.	
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HW	A11	US-6,565,619 B1	05/20/2003	Asano, et al.	
HW	A12	US-6,562,719 B2	05/13/2003	Kondo, et al.	
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HW	A14	US-6,508,952 B1	01/21/2003	Lee, et al.	
HW	A15	US-6,455,479 B1	09/24/2002	Sahbari	
HW	A16	US-6,454,819 B1	09/24/2002	Yano, et al.	
HW	A17	US-6,447,371 B2	09/10/2002	Brusic Kaufman, et al.	
HW	A18	US-6,440,295 B1	08/27/2002	Wang	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Number-Kind Code <sup>2</sup> (if known)				
HW	B1	WO 02/08229 A1	11/07/2002	Dow Global Technologies, Inc.		
HW	B2	WO 02/23616 A1	03/21/2002	ACM Research, Inc.		
	B3					
	B4					
	B5					

Examiner

*Danny D. O'Neil, III*

Data Considered

4/28/04

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**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2

of 3

Application Number	10/038,066
Filing Date	January 3, 2002
First Named Inventor	Chen, et al.
Group Art Unit	1742
Examiner Name	Harry D. Wilkins, III
Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	3/10, 2004

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
HW	A19	US-6,440,186 B1	08/27/2002	Sakai, et al.	
NW	A20	US-6,429,133 B1	08/06/2002	Chopra	
HW	A21	US-6,428,721 B1	08/06/2002	Ina, et al.	
NW	A22	US-6,419,554 B2	07/16/2002	Chopra, et al.	
NW	A23	US-6,395,152 B1	05/28/2002	Wang	
NW	A24	US-6,391,166 B1	05/21/2002	Wang	
NW	A25	US-6,375,683 B1	04/23/2002	Cote, et al.	
NW	A26	US-6,315,803 B1	11/13/2001	Ina, et al.	
NW	A27	US-6,310,019 B1	10/30/2001	Kakizawa, et al.	
NW	A28	US-6,303,551 B1	10/16/2001	Li, et al.	
NW	A29	US-6,303,049 B1	10/16/2001	Lee, et al.	
NW	A30	US-6,273,786 B1	08/14/2001	Chopra, et al.	
NW	A31	US-6,258,711 B1	07/10/2001	Laursen	
NW	A32	US-6,238,592 B1	05/29/2001	Hardy, et al.	
NW	A33	US-6,218,305 B1	04/17/2001	Hosali, et al.	
HW	A34	US-6,206,756 B1	03/27/2001	Chopra, et al.	
HW	A35	US-6,190,237 B1	02/20/2001	Huynh, et al.	
NW	A36	US-6,171,352 B1	01/09/2001	Lee, et al.	
NW	A37	US-6,153,043	11/28/2000	Edelstein, et al.	
NW	A38	US-6,143,656	11/07/2000	Yang, et al.	
NW	A39	US-6,117,853	09/12/2000	Sakai, et al.	
HW	A40	US-6,117,783	09/12/2000	Small, et al.	
HW	A41	US-6,090,239	07/18/2000	Liu, et al.	
HW	A42	US-6,083,840	07/04/2000	Mravic, et al.	
HW	A43	US-6,063,306	05/16/2000	Kaufman, et al.	
NW	A44	US-6,064,864	05/02/2000	Cheung	
NW	A45	US-6,004,880	12/21/1999	Liu, et al.	

Examiner

*Harry D. Wilkins*

Date Considered

04/28/04

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 STATEMENT BY APPLICANT**

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Sheet 3

of 3

Application Number	10/038,066
Filing Date	January 3, 2002
First Named Inventor	Chen, et al.
Group Art Unit	1742
Examiner Name	Harry D. Wilkins, III
Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	3/10, 2004

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		Number-Kind Code <sup>2</sup> (if known)			
HW	A46	US-6,001,730	12/14/1999	Farkas, et al.	
HW	A47	US-5,897,375	04/27/1999	Watts, et al.	
HW	A48	US-5,880,003	03/09/1999	Hayashi	
HW	A49	US-5,866,031	02/02/1999	Carpio, et al.	
HW	A50	US-5,783,489	07/21/1998	Kaufman, et al.	
HW	A51	US-5,770,095	06/23/1998	Sasaki, et al.	
HW	A52	US-5,407,526	04/18/1995	Danielson, et al.	
HW	A53	US-5,391,258	02/21/1995	Brancaleoni, et al.	
HW	A54	US-5,340,370	08/23/1994	Cadien, et al.	
HW	A55	US-5,209,816	05/11/1993	Yu, et al.	
HW	A56	US-5,114,548	05/19/1992	Rhoades	
HW	A57	US-4,992,135	02/12/1991	Doan	
HW	A58	US-3,873,512	03/25/1975	Latanision	
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HW	A60	US-2003/0153184 A1	08/14/2003	Wang, et al.	
HW	A61	US-2003/0136055 A1	07/24/2003	Li, et al.	
HW	A62	US-2003/0118445 A1	06/26/2003	Sun, et al.	
HW	A63	US-2003/0083214 A1	05/01/2003	Kakizawa, et al.	
HW	A64	US-2003/0079416 A1	05/01/2003	Ma, et al.	
HW	A65	US-2003/0079386 A1	04/17/2003	Ma, et al.	
HW	A66	US-2002/0139055 A1	10/03/2002	Asano, et al.	
HW	A67	US-2002/0096659 A1	07/25/2002	Sakai, et al.	
HW	A68	US-2002/0088709 A1	07/11/2002	Hongo, et al.	
HW	A69	US-2002/0040100 A1	04/04/2002	Kume, et al.	
HW	A70	US-2002/0016272 A1	02/07/2002	Kakizawa, et al.	
HW	A71	US-2002/0008036 A1	01/24/2002	Wang	

Examiner

Harry D. Wilkins III

Date Considered

4/28/04

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Sheet

1

of 1

Application Number	10/038,066
Filing Date	January 3, 2002
First Named Inventor	Chan, et al.
Group Art Unit	1742
Examiner Name	Harry D. Wilkins III
Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	April 16, 2004

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Number-Kind Code <sup>2</sup> (if known)				
	A1					
	A2					
	A3					

### FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup> Number-Kind Code <sup>3</sup> (if known)				
HW	B1	WO 99/46081 A1	09/16/1999	JOHN M. BOYD		
	B2					

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
HW	B1	PCT Written Opinion for PCT/US02/04806, dated March 9, 2004 (AMAT/5699.PC)	

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4/28/04

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